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## APPENDIX VI-10

### FORM HUD 11714 - ISSUER'S MONTHLY REMITTANCE ADVICE

- Applicability:** Ginnie Mae I MBS Program only.
- Purpose:** To provide summary information to the security holder with respect to the current month's account transactions and calculation of the security holder's pro rata share percentage of total cash distribution.
- Prepared by:** Issuer of the securities (or subcontract servicer). Form must be signed by the issuer.
- Prepared in:** Original only, and any copies issuer wishes to hold.
- Distribution:** Each security holder must receive this remittance advice no later than the 15th of the month. Delivery must be made in accordance with Note (3) below.
- When the use of a form HUD 11714 is permitted, it may be sent along with the monthly payment of principal and interest. A machine printout giving the required data and explanations may be used provided that it has the same format as, and information required by, form HUD 11714.
- Calculation:** If there is more than one security holder in a pool, the payment due each security holder is based on the pro rata share percentage of each security holder multiplied by the total required payment (see Sections 15-4 of the Ginnie Mae MBS Guide). The pro rata share percentage is the original principal amount of the security holder's securities divided by the original principal amount of the entire pool, carried out to eight decimal places.

***Note:***

- (1) Under "Certificate Number," the issuer should insert "N/A" if the security holder's securities are held in book-entry form.
- (2) For GPM securities, the amount entered on Line A may be negative. Such negative amounts represent deferrals of interest, which are added to the outstanding principal amount.

If the remittance is made in connection with GPM securities and the amount of interest actually disbursed is less than the calculated interest, the calculated interest should be entered in the right-hand column on Line B. after the "\$", and the amount actually disbursed should be entered just to the right of the words "Cash distribution due Security Holder for interest."

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- (3) With respect to securities held in book entry form, issuers that, prior to August 1, 1999, were submitting form HUD 11714 information in electronic form to the depository's nominee may continue to do so through June 30, 2000, or they will be required to submit form HUD 11714 to the depository electronically using *GinnieNET 5.2*. An issuer qualifying as an issuer on or after August 1, 1999 must use *GinnieNET 5.2* for this purpose. Beginning July 1, 2000, all issuers must transmit the form HUD 11714 using *GinnieNET 5.2*, and the PPA will forward the information to the depository's nominee. The issuer is not required to submit a hard copy of the form HUD 11714 to the depository's nominee.

With respect to securities that are not held in book-entry form, issuers will be required, beginning July 1, 2000, to transmit form HUD 11714 information monthly using *GinnieNET 5.2*. Issuers must also continue to deliver to each security holder each month either a hard copy form HUD 11714 or, with the security holder's permission, the form HUD 11714 information in electronic form. An issuer that submits the form HUD 11714 information electronically is not required to send a hard copy form HUD 11714 to the security holder.

Issuers using *GinnieNET 5.2* to submit form HUD 11714 must follow the instructions in the *GinnieNET* Issuer Guide.

Attached are the record layouts for those submitting the information in 9 track tapes or electronic (CPU/CPU) transmission. This layout is identical to the one already being used, and therefore requires no change for issuers who currently send tapes or transmissions to the depository. For issuers that are unable to create the details in the media specified above, the depository will provide a PC-based software application that will enable issuers to produce a file of data for transmission. All tapes must be sent to the depository by express mail or other form of overnight delivery and must be received no later than the day of the month on which payment on the securities is due to be received. Details on the mailing of these files can be arranged by calling the depository Operations Manager (see Addresses in the Ginnie Mae MBS Guide).

Following this conversion, a date will be set that will require all issuers to electronically transmit this data to the depository (eliminating tapes) by either CPU/CPU transmissions or PC modem transmission.

- (4) The amount of principal reported on the form HUD 11714 (the total of the entries on lines A., C., and D.) must be in agreement with the sum of all forms HUD 11710-A, Section 2.E. "(Total Principal (A through D)," for the reporting month, the amount "reported on the form HUD 11710-D for the reporting month, in Section 9, (Due Holders - Total Principal)," and the aggregate principal actually paid to security holders. Related interest amounts must also be in agreement.

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**Issuers File Layout for Ginnie Mae Remittance Advices**

The following is the file layout required for electronic submission of payment information. The file should be blocked at 4000 bytes, 6250 bpi density, fixed length 200 byte records.

Header:

Field Description	Format	Width	Decimals
Record ID	C Value 'H'	1	
Issuer number	I	4	
Issuer name	C	50	
Security holder	C	30	
*Payable Date (15 <sup>th</sup> of Month)	I	6	
*Creation Date	I	6	
Filler	C	103	

Detail:

Field Description	Format	Width	Decimals
Record ID	C Value 'D'	1	
Pool Indicator	C Value 'X', 'C' or 'M'	1	
Pool Number	I	6	
Certificate	C	12	
Interest rate	N	7	5
Pro Rata share %	N	11	8
Principal (A)	N	12	2
Interest (B)	N	12	2
Additional	N	12	2
Adjustments (D)	N	12	2
Federal Tax (E)	N	12	2
Total (F)	N	14	2
Balance (G)	N	16	2
Explanation	C	72	

Trailer:

Field Description	Format	Width	Decimals
Record ID	C Value 'T'	1	
Record Count	I	8	
Batch Amount Total	N	16	2
Filler	C	175	

N = Signed numeric, implied decimal point

I = Unsigned integer

C = Character

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## Issuers File Layout for Ginnie Mae Remittance Advices - COBOL Format

This is the file layout in a COBOL compatible format; it is equivalent to the original file layout on the previous pages. Please note that the sign in the signed numeric fields should be represented as an overpunch in the rightmost (least significant) digit.

### Header Record

01 HUD-HDR.

05 HDR-ID	PIC X.
05 HDR-ISSUER	PIC 9(4).
05 HDR-NAME	PIC X(50).
05 HDR-HOLDER	PIC X (30).
05 HDR-PAY-DATE	PIC 9(6).
05 HDR-CREATE-DATE	PIC 9(6).
05 FILLER	PIC X(103).

### Detail Record

01 HUD-DTL.

05 DTL-ID	PIC X.
05 DTL-POOL-NO.	
10 DTL-IND	PIC X.
10 DTL-POOL	PIC 9(6).
05 DTL-CERT	PIC X(12).
05 DTL-RATE	PIC S99V9(5).
05 DTL-PRS	PIC S9(3) V9(8).
05 DTL-PRIN	PIC S9(10) V99.
05 DTL-INT	PIC S9(10) V99.
05 DTL-ADD	PIC S9(10) V99.
05 DTL-ADJ	PIC S9(10) V99.
05 DTL-FED	PIC S9(10) V99.
05 DTL-TOT	PIC S9(12) V99.
05 DTL-BAL	PIC S9(14) V99.
05 DTL-EXPL	PIC X(72).

### Trailer Record

01 HUD-TLR.

05 TLR-ID	PIC X.
05 TLR-COUNT	PIC Z(8).
05 TLR-TOTAL	PIC S9(14) V99.
05 FILLER	PIC X(175).

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# Issuer's Monthly Remittance Advice

U.S. Department of Housing  
and Urban Development  
Government National Mortgage Association

OMB Approval No. 2503-0015 (Exp. 8/31/97)

Public reporting burden for this collection of information is estimated to average 1 minute per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

The information is required by Sec. 306(g) of the National Housing Act or by Ginnie Mae Handbook 5500.3.

To the following Security Holder

	Ginnie Mae pool/commitment Number	Date
	Certificate Number	Reporting Month
	Security Interest Rate	Pro Rata Share Percentage

This remittance advice covers the above Security Holder's proportional share of the distribution in the indicated pool of mortgages for the above reporting month.

☐ The check is enclosed.  
☐ The check will be forwarded separately but no later than the 15th of the current month.  
☐ The remittance will be made electronically on the 15th of the month or, if the 15th is not a business day, on the next business day.

A. Cash distribution due Security Holder for scheduled principal amortization	\$
B. Cash distribution due Security Holder for interest	\$
C. Cash distribution of additional principal collections	\$
D. Adjustments. ( + or - ) (Explain below)	\$
E. Less Federal income tax withheld from interest (if any)	\$ -
F. Total cash distribution due Security Holder	\$
G. Outstanding balance of this certificate after credit of the above distribution	\$

Explanation for items above

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Issuer	Authorized Signature
Street Address	City and State

Previous editions are obsolete.

form HUD-11714 (10/99)  
ref. Ginnie Mae Handbook 5500.3

